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Cognitive behavioral therapy (CBT) is an effective treatment for many with obsessive-compulsive disorder (OCD). However, re-to predict an individual's potential response would permit clinicians to more prudently allocate resources for this often stressful and time-consuming treatment. We collected resting-state func-and after 4 weeks of intensive daily CBT. We leveraged machine learning with cross-validation to assess the power of functional connectivity (FC) patterns to predict individual posttreatment OCD mode network and visual network significantly predicted posttreatment OCD severity, explaining up to 67% of the variance. ical scores. Results have clinical implications for developing per-patients who will maximally benefit from intensive CBT.

OCD | CBT | resting state | functional connectivity | machine learning

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Significance

The ability to predict an individual's potential response to treatment would permit clinicians to more prudently allocate resources that support cognitive behavioral therapy for obsessive-compulsive disorder (OCD), an often stressful and time-consuming treatment. The current study lays important groundwork for an exciting advance toward personalized medicine in psychiatry that up to this point has eluded the field. This study marks a success in using multivariate pattern recognition to identify neurobiological predictors of treatment response. In addition, it advances knowledge of the neurophysiology of OCD and of mechanistic processes involved in the therapeutic response, which could be used to refine existing treatments or to develop novel treatments based on identified potential brain targets.

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Finally, the amygdala, compared with many other brain regions, has shown particular value in predicting response to CBT for OCD (11, 12) and has frequently exhibited abnormalities in OCD involving blood oxygenation level-dependent (BOLD) activation (25–29) and/or functional connectivity (21, 30–32). Therefore, we performed additional analyses in which we added bilateral amygdala ROIs from the Harvard Oxford Atlas to the list of ROIs within each network.

Methods and Materials

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We built a least absolute shrinkage and selection operator [LASSO (46)] regression model whose regularization parameter was optimized using the īleast augus aug īmodel parameters p parameters p ğan additional additio ॅ Official Off features to obtain predicted behavioral measures of interest (\hat{Y}). After the five folds, whereby each participant was left out exactly once, we correlated the array of predicted values (\hat{Y}) with the actual values (Y), yielding Pear-capture the behavioral variance across participants. We repeated this fivefold cross-validation 10 times and averaged the R values to converge on a true estimate of our test statistic independent of which participants were randomly included in each fold. We also report the RMSE $\left[\sqrt{1/N\sum_{i=1}^{N} (\hat{Y}_{i} - Y_{i})^{2}}\right]$ values

averaged across the 10 iterations.

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For all SVM analyses, significance was determined by the binomial inverse of the cumulative distribution function to identify the smallest number of

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correct classifications of the total number of classifications (number of participants raised to the power of the number of groups in the classification), where the distribution was centered around the chance value by randomly shuffling the labels before classification (49).

Results

Participants. Fifty-one right-handed adults ages 18–60 with the *Diagnostic and Statistical Manual of Mental Disorders, 4th edition* (*DSM–IV*) (50) OCD were enrolled. Four waitlist-first participants withdrew before completing waitlist, and one was withdrawn due to medication protocol violation. The study physician withdrew two treatment-first participants, and two completed the study but had inadequate fMRI data due to head motion. Ultimately, data from 42 OCD participants were analyzed. Thirteen were medicated: six with fluoxetine, one with fluoxamine, two with escitalopram, and three with sertraline. Twenty-nine had one or more comorbid psychiatric diagnoses (Table 1 and Table S1).

Functional Connectivity. Two of the pretreatment FC feature sets strongly and reliably predicted a participant's posttreatment YBOCS (Fig. 2). When the DMN's pretreatment FC values were used in the feature set, the classification was most powerful, capturing 67% of the variance in posttreatment YBOCS ($R^2 = 0.67$; RMSE = 3.32; $p_t < 0.001$; $p_{bs} < 0.001$). Pretreatment

FC within the visual network also accounted for significant variance ($R^2 = 0.51$; RMSE = 3.69; $p_t < 0.001$; $p_{bs} < 0.001$). No other networks reached statistical significance (Table 2).

Table 1. Demographic and psychometric characteristics of the sample (N = 42)

Characteristic	Value	SD	P value
Female/male	22/20		
Age	32.4	9.9	
Education, y	15.6	2.4	
WASI IQ	108.4	9.1	
Number on serotonin-reuptake inhibitor	13		
Number with psychiatric comorbidities	29		
Number without psychiatric comorbidities	13		
YBOCS total pre-CBT	24.6	4.7	
YBOCS total post-CBT	15.0	5.3	<0.001*
YBOCS obsessions (1–5) pre-CBT	12.0	2.7	
YBOCS obsessions (1–5) post-CBT	7.9	3.1	<0.001*
YBOCS compulsions (6–10) pre-CBT	12.6	2.3	
YBOCS compulsions (6–10) post-CBT	7.1	2.7	<0.001*
HAMA pre-CBT	12.5	5.3	
HAMA post-CBT	8.5	5.1	<0.001*
MADRS pre-CBT	15.6	9.3	
MADRS post-CBT	11.0	8.9	<0.001*
GAS pre-CBT	57.7	8.6	
GAS post-CBT	69.5	13.4	<0.001*

*Paired t test, comparing pre- versus post-CBT.

 Table 2.
 Associations between predicted and actual post-CBT

 OCD symptom severity for eight functional brain connectivity
 networks subjected to multivariate analysis

Network	R ²
Default mode	0.672*
Visual	0.505*
Dorsal attention	0.022
Somatosensory motor	0.123
Cinguloopercular	0.170
Frontoparietal	0.215
Subcortical	0.148
Ventral attention	0.057

* $p \le 0.006$; Bonferroni-corrected significance level.

power in both the DMN ($R^2 = 0.67$ with vs. $R^2 = 0.69$ without) and visual network ($R^2 = 0.51$ with vs. $R^2 = 0.53$ without). No feature sets accounted for significant variance in participants' postwaitlist YBOCS scores, indicating that prediction of OCD outcome was specifically related to CBT as opposed to the mere passage of time. To confirm that results were specific to predicting OCD symptom outcomes, we also conducted cross-validations for the HAMA and MADRS scores both before and after treatment. No networks accounted for significant variance in these end points. To confirm that our results were specific to OCD outcome and not comorbid conditions such as depression and anxiety, we used the pretreatment data in two SVM crossī validations valid disorder (n = 10; major depressive disorder, dysthymic disorder, and depressive disorder not otherwise specified) and/or (ii) an anxiety disorder (n = 24; generalized anxiety disorder, social anxiety disorder, panic disorder, posttraumatic stress disorder, specific phobia, and body dysmorphic disorder). See SI Methods for more information. No feature sets had a classification ac-from chance (50%) in either cross-validation.

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Discussion

This OCD study uses multivariate pattern recognition to identify neurobiological predictors of treatment response. Pretreatment multivariate connectivity in the DMN and the visual network significantly predicted individual patients' OCD symptoms after 4 wk of intensive CBT. Conversely, pretreatment OCD symptom severity was only moderately associated with posttreatment severity and, along with medication status, was not ranked in the



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Pretreatment connectivity within the DMN was most predictive of end point OCD symptoms. This could reflect the potential of certain individuals' DMN to reorganize to provide a neural instantiation for modified behaviors taught during CBT. The DMN has been associated with self-referential processing (52), and obsessions often contain "evaluative dimensions about the self" (53). These may be associated with contamination-re-personal responsibility (e.g., moral or religious scrupulosity) or obsessive concerns about harm. It is thus plausible that DMN connectivity patterns are related to OCD symptoms and/or responsiveness to CBT. Indeed, recent neuroimaging studies have found abnormal connectivity in the DMN and its constituent ğlaramatrassaramat proposed functions of the DMN, these studies suggest a possible contributor to self-oriented repetitive obsessions in some OCD patients: an impaired inability of the medial frontal cortex to evaluate performance (56, 57). For example, the hands are compulsively washed again because the first time was not "good enough," or prayer is scrupulously repeated since it was not sufficiently "pure" or "devout" the first time. Our results could reflect the potential for the DMN to adjust toward a more adaptive state, allowing one's thoughts to escape the loop of selfreferential processing and to switch to externally oriented, goaldirected cognition (58).

Pretreatment connectivity across the visual network also significantly predicted end point OCD symptoms. In anxiety



Fig. 2. Scatterplots depicting the relationship between the array of predicted posttreatment YBOCS values with the actual posttreatment YBOCS values when the LASSO cross-validation model was relying on feature sets that included pretreatment functional connectivity from the default mode network (*Left*) and the visual network (*Right*).

disorders and in other obsessive–compulsive related disorders, similar brain activity and connectivity relationships have been observed in visual regions. A study in social anxiety disorder found right visual cortex activity in response to angry faces to be associated with improved symptoms post-CBT (59). Also in social anxiety disorder, FC between amygdala and inferior temporal/occipital cortex and fractional anisotropy in inferior longitudinal fasciculus (connecting the amygdala with visual regions) both predicted symptom response to CBT (60). Activity in the visual stream, mediated by amygdala activity, was associated with anxiety in individuals with body dysmorphic disorder (61).

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Emotionally charged stimuli can up-regulate visual processing (64-68). In most cases, e.g., ref. 69, such up-regulation is adaptive. However, misattribution of emotional valence to nonthreatening or non-task-related stimuli could cause pathological up-regulation of visual processing dedicated to those stimuli. In OCD, hypervigilance-related up-regulation could enhance visual attention, contributing to obsessional preoccupation with environmental stimuli that are not inherently salient (e.g., a dirty doorknob) or with irrelevant details (70). Because visual awareness has been shown to modulate detection of fearful stimuli (71), visual activation could facilitate an arousal feedback loop within and across the visual network and amygdalae. In the current study, OCD participants who achieved lower post-CBT YBOCS may be those who started treatment with visual systems that were more amenable to a "rewiring" that could help impede such circularities. As such, we suspected that including an amygdala ROI to our visual network might result in a FC feature set that outperformed the visual network alone. However, we witnessed no increase in model performance-accuracy stayed the same. This suggests that FC within the visual network may already contain information relayed by the amygdala or that the amygdala does not meaningfully modulate visual activity, as related to OCD treatment response, during rest. Future studies

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One limitation of the current study is sample size. Our crossvalidation approach of leaving out ~25% of participants for model testing helped minimize overfitting, yet much larger datasets that can be randomly split and still contain larger numbers for both training and testing the model may provide more optimal internal validation. Beyond that, there is need for validation in a fully independent sample to ensure robustness and generalizability across samples that differ slightly, because prediction analyses in smaller studies may fail to generalize when applied to independent samples. Another limitation is that some participants (n = 13) were medicated. The small size of this subsample precluded separate analyses of medicated and unmedicated participants, so to account for possible medication effects we used a binary medication variable in the model. A further limitation is that although multivariate regression analyses capitalize on complex data patterns to make predictions, the specific nature of the patterns that lead to predictions can be challenging to interpret. Future work is required to obtain a deeper mechanistic understanding of which sets of regions and directions of interactions within the DMN and visual network are driving the classifier's predictions and why.

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